

PATENT

Attorney Docket No.: 017881-001010US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Frederick Hagen et al.

Application No.: 10/533,844

Filed: May 4, 2005

For: METHODS FOR THE

IDENTIFICATION OF AGENTS

THAT MODULATE THE

STRUCTURE AND

PROCESSING OF BETA-AMYLOID PRECURSOR

PROTEIN

Customer No.: 20350

Confirmation No.: 8952

Examiner:

Winston M. Alvarado

Technology Center/Art Unit: Unassigned

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE UNDER 37 C.F.R. §§ 1.821 - 1.825

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notification of Defective Response mailed on November 6, 2006, Applicants submit herewith substitute computer readable form (diskette) of the Sequence Listing.

Applicants state that the content of the sequence listing information recorded in the computer readable form (diskette), is identical to the paper copy of the Sequence Listing filed on September 28, 2006, and includes no new matter.

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If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 206-467-9600.

Respectfully submitted,

TOWNSEND and TOWNSEND and CREW LLP

Date: 26 Member 2004

By: Brian W. Poor

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BWP:mmm 60876766 v1



D17881-00101045 BWP, SE /CC: ACG, SE

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address COMMISSIONER FOR FATERTS PO. Box 145 Alexandris, Vigata 22313-1450 awaterproger

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY, DOCKET NO.

10/533.844

Frederick Hagen

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INTERNATIONAL APPLICATION NO.

PCT/US03/35294

LA. FILING DATE

PRIORITY DATE

11/04/2003

11/04/2002

Townsend and Townsend and Crew Two Embarcadero Center 18th Floor San Francisco, CA 94111-3834

Response Due 10/29/06

Date Mailed: 11/06/2006

Brian W Poor

CONFIRMATION NO. 8952 371 FORMALITIES LETTER

OC000000021121303*

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 05/04/2005
- Copy of the International Search Report filed on 05/04/2005
- Preliminary Amendments filed on 05/04/2005
- Biochemical Sequence Diskette filed on 10/03/2006
- Oath or Declaration filed on 05/04/2005
- Biochemical Sequence Listing filed on 05/04/2005
- Small Entity Statement filed on 05/04/2005
- Request for Immediate Examination filed on 05/04/2005
- U.S. Basic National Fees filed on 05/04/2005
- Priority Documents filed on 05/04/2005

Applicant's response filed 10/03/2006 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 08/29/2005 have not been completed.

The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR

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